Transcatheter closure of atrial septal defects using the Cardio-Seal implant


Abstract
Objective—To review the outcomes of transcatheter closure of atrial septal defects using the Cardio-Seal implant.

Design—A prospective interventional study.

Setting—Tertiary referral centre.

Patients—The first 50 patients (median age 9.7 years) who underwent attempted percutaneous occlusion.

Interventions—Procedures were done under general anaesthesia and transoesophageal guidance between December 1996 and July 1998.

Main outcome measures—Success of deployment, complications, and assessment of right ventricular end diastolic diameter, septal wall motion, and occlusion status by echocardiography.

Results—The median balloon stretched diameter was 14 mm. Multiple atrial septal defects were present in 11 patients (22%) and a deficient atrial rim (< 4 mm) in 19 (38%). In four patients (8%), a second device was implanted after removal of an initially malpositioned first implant. There were no significant immediate complications. All patients except one were discharged within 24 hours. At the latest follow up (mean 9.9 months) a small shunt was present in 23 patients (46%), although right ventricular end diastolic dimensions (mean (SD)) corrected for age decreased from 137 (29)% to 105 (17)% of normal, and septal motion abnormalities normalised in all but one patient. No predictors for a residual shunt were identified. Supporting arm fractures were detected in seven patients (14%) and protrusion of one arm through the skin. These complications were noted in the first 25 patients and were not encountered in the remaining cohort.

Conclusions—The implantation of the Cardio-Seal device corrects the haemodynamic disturbances secondary to the right ventricular volume overload, with good early outcome.

Keywords: congenital heart disease; interventional catheterisation; atrial septal defects

Transcatheter closure of the isolated secundum atrial septal defect has evolved over the last 30 years, with refinements in technology giving birth to a variety of devices.1–6 The Cardio-Seal occlusion device (Nitinol Medical Technologies Inc, Boston, Massachusetts, USA) is one such device. It was re-engineered from the former Bard Clamshell septal occluder (Bard Inc, Billerica, Massachusetts, USA), a double umbrella design that underwent multicentre clinical trials in both the USA and Canada between 1989 and 1991 with very favourable initial clinical results. However, a high rate of supporting arm fractures was noted in follow up, prompting discontinuation of elective implantations.7,8 The device was redesigned and new trials were begun in late 1996.9 This study describes the preliminary results from a single institution with this new occlusion system.

Methods

PATIENT POPULATION

Between December 1996 and July 1998, 50 patients (12 male and 38 female) from 3.5 to 71 years of age (mean 15.9; median 9.7) underwent attempted percutaneous transvenous atrial septal defect occlusion using the Cardio-Seal implant. Weight ranged from 11.4–104 kg (mean 37.4; median 32.2), and body surface area ranged from 0.6–2.3 m² (mean 1.18; median 1.13). Associated defects (all mild) included pulmonary valve stenosis in two patients, left ventricular outflow obstruction in one, and right ventricular hypoplasia with a small ventricular septal defect in another.

All parents or patients (when applicable) agreed to participate in the clinical trial approved by the Ministry of Health (Health Protection Branch, Ottawa, Canada). Informed consent was obtained from all patients or parents following guidelines of the human subject protection committee of the Hospital for Sick Children, University of Toronto, Canada.

STUDY PROTOCOL

Patients were screened by transthoracic cross sectional colour Doppler echocardiography using multiple standard views. Inclusion criteria included:

- the presence of an ostium secundum atrial septal defect;
- left to right shunting across the septum;
- maximum atrial septal defect diameter of 20 mm;
- a dilated right ventricle with evidence of volume overload;
Transcatheter closure of atrial septal defects

• a safe distance (usually 4–5 mm) from the margins of the defect to intracardiac structures (atrioventricular valves, superior caval vein, upper right pulmonary vein, and coronary sinus);
• an adequate rim of tissue (> 4 mm) present around at least 75% of the defect circumference;
• size of the patient large enough to accommodate an 11 French sheath (usually more than 8–10 kg or over two years of age).

Exceptions were made in the case of two female patients (19 and 30 years old) with a diagnosis of transient ischaemic attacks presumed to be caused by paradoxical embolisation. Contrast transoesophageal echocardiography (TOE) showed a patent oval foramen shunting right to left with a small aneurysm of the atrial septum in one of these, and a small secundum atrial septal defect with bidirectional shunting but no right ventricular volume overload in the other. Both patients had histories of recurrent transient episodes of hemiparesis and slurred speech, and were on warfarin at the time of catheterisation, with poor compliance.

All patients were taken to the cardiac catheterisation laboratory and evaluated by TOE under general anaesthesia before catheterisation. TOE reassessed the size of the defect and the surrounding rims, the length of the atrial septum, and pulmonary venous connections. Patients who did not fulfil the criteria described above were referred for surgical intervention. The patient with mild right ventricular hypoplasia and a small ventricular septal defect had test occlusion of the atrial septum before attempted device closure, with no change in right atrial pressures or decrease in cardiac output.

DEVICE AND DELIVERY SYSTEM

The Cardio-Seal device consists of two self expanding umbrellas that are attached to the atrial septum by spring tension after implantation. Four metal arms made from the metal alloy MP35N radiate from the centre of the device, supporting each umbrella. These arms are attached to each other in the centre and around at least 75% of the defect circumference. The device is loaded into the delivery catheter, and dilator were carefully removed. The chosen device was loaded into the delivery catheter and advanced through the long sheath into the inferior caval vein and to the right atrial appendage or pulmonary veins. Under TOE guidance, the entire system was pulled back gently until the left atrial umbrella approached or slightly touched the left atrial side of the septum. This was generally in the region of the anterosuperior portion of the septum, and care was taken not to pull an arm through to the right atrium. Indeed, to achieve this position, the centre of the implant tended to be on the left atrial side of the defect before the right atrial arms were opened (fig 1). If the orientation of the device was perpendicular to the plane of the atrial septum, the device could protrude through the defect. If this occurred attempts were made to...
bring it into a more parallel position by rotation (sheath and delivery catheter) or by recapturing it in the sheath (refolding by retracting the delivery wire), with redeployment of the left atrial disc.

When a satisfactory position was obtained, the sheath was further retracted over the delivery catheter, opening the proximal disc within the right atrium. To avoid distortion of the atrial septum by the relatively stiff attachment of the device to the wire, the sheath was fully retracted to the inferior caval vein. While connected to the delivery wire, proper positioning of the device was confirmed by TOE. If the device was in a stable location the release mechanism was activated and the device detached. TOE was again employed to confirm the final position of the device and evaluate the presence, location, and size of possible residual shunting. Three dimensional echocardiographic reconstruction was obtained in 24 patients immediately after the implantation, as part of another approved protocol. Cefazolin (40 mg/kg, maximum dose 1 g) and heparin sulphate (150 IU/kg, maximum 5000 IU) were given immediately before device implantation. After removal of the sheath, haemostasis was achieved by local pressure, and the patient was allowed to waken in the catheterisation laboratory. A second dose of antibiotics was given after eight hours and the patient was discharged home the following day on low dose of aspirin (3–5 mg/kg daily; maximum 325 mg) for six months.

FOLLOW UP STUDIES
A chest radiograph and a transthoracic colour Doppler echocardiogram were obtained before discharge. Clinical assessment with chest x ray and ECG was performed at one, six, and 12 months of follow up. Transthoracic echocardiography was repeated six and 12 months after the procedure. The location and size of residual shunting were classified on echocardiography according to previously published protocols. Right ventricular end diastolic dimensions were measured in all patients and normalised against predicted values for age. A fluoroscopic evaluation was also performed at the six month review. Arm fractures were identified on chest x ray or fluoroscopy. A 24 hour ambulatory ECG was obtained if there was any evidence of arrhythmias on standard ECG or new symptoms suggesting rhythm disturbances.

STATISTICAL ANALYSIS
All values are expressed as mean (SD) or median and range, as applicable. A paired Student’s t test was used to compare changes in right ventricular size, atrial septal defect diameters (stretched and on TOE), and device diameter to stretched diameter ratios. Multiple logistic regression was performed to identify factors predictive of a residual leak. A χ² test was used to compare changes in the septal motion, and a Fischer exact test was used to evaluate the association between protrusion of the device, residual shunts, and partial deficiency in septal rims. The level of significance was set at p < 0.05. The statistical analysis was performed using the SigmaStat version 2.0 (1995) statistical software (Jandel Corporation, San Rafael, California, USA).
Transcatheter closure of atrial septal defects

Results

According to the selection criteria by transthoracic echocardiography, 63 patients were taken to the catheterisation laboratory. Subsequent TOE showed that 13 patients were unsuitable for catheter closure for various reasons. These included a deficient rim (< 4 mm) surrounding more than 50% of the circumference of the defect (five patients), the size of defect > 18 mm (four patients), and, in one patient each, a large defect in a patient thought to be too small to accommodate the device, the presence of a sinus venous defect with partial anomalous pulmonary venous connection, the presence of an inferior caval vein defect, and a small defect with no right ventricular volume overload. Transcatheter closure of the defect was carried out successfully in the remaining 50 patients.

The mean (SD) defect diameter measured by TOE was 11.9 (2.9) mm (range 7–18 mm; median 12 mm) and was significantly smaller than the mean stretched diameter of 13.7 (3.2) mm (range 7.5–20 mm; median 14 mm) (p < 0.0001). Two defects were found in 10 of the 50 patients (20%) and a fenestrated septum in one (2%). A deficient rim (< 4 mm) was present in the anterosuperior location in 17 patients (34%) and in the posteroinferior rim in two (4%). The measured Qp/Qs (ratio of pulmonary to systolic blood flow) was 1.9:1 (0.7) (range 1–4), and right ventricular and pulmonary artery pressures were normal in all patients. Fluoroscopy time ranged from 7–32 minutes (mean 15.5 (5.4) minutes). Four sizes of device were used: 23 mm (n = 4, 8%), 28 mm (n = 13, 26%), 33 mm (n = 16, 32%), 40 mm (n = 17, 34%). The ratio of device diameter to the stretched diameter of the defect was 2.5 (0.4) (range 1.9–3.6).

In four of the 50 patients (8%), the initial device was refolded into the sheath while still attached to the delivery wire after deployment of both umbrellas. In one patient each, the proximal inferior arm caught the Eustachian valve during closure of the right atrial disc; a distal umbrella protruded from left to right atrium; the device was too large for the length of the atrial septum, or the device failed to release properly after adequate positioning. A second device was implanted successfully in all these instances.

Minor immediate complications occurred in three patients (6%): one short episode of supraventricular tachycardia requiring adenosine treatment; one episode of transient Mobitz I atrioventricular block requiring atropine; and one episode of transient ST elevation with spontaneous recovery. An immediate TOE (less than 20 minutes after the implantation) showed a residual leak in 30 patients (60%). No flow disturbance was observed in the superior or inferior caval vein, the coronary sinus, or the right upper pulmonary vein. In no instance did the device impinge on the mitral or tricuspid valves resulting in regurgitation. There were no device emboliations, deaths, vascular complications, or blood transfusions. All patients except one were discharged home on the following day. At discharge, 30/50 patients (60%) had residual shunting assessed by trans-thoracic echocardiography.

Mean follow up was 9.9 (3.2) months (range 6–12 months). At the latest follow up, persistent shunting was identified in 23 patients (46%), estimated at < 2 mm in diameter in 13 (26%), between 2–4 mm in four (8%), and > 4 mm in six (12%). The length of follow up did not differ between patients with or without residual leaks. The shunt occurred around the device in 18 patients, through the device in four, and both through and around the device in one. No risk factors—including the diameter of atrial septal defect on TOE, the stretched diameter of the defect, the size of the device, deficiency of the rim, or the presence of multiple defects—were predictive of residual shunting. The two patients who had presumed stroke from right to left shunting had small (< 2 mm) left to right shunts on transthoracic echocardiography 12 months after device implantation, but no right to left shunting detected on a contrast bubble study. Both patients complained of episodes of visual disturbance and blurred vision within the first month after implantation. No cerebral ischaemic episodes occurred at follow up, and repeat brain computed tomography revealed no new abnormalities.

The echocardiographic right ventricular end diastolic dimension corrected for age was 137 (29)% of predicted before implantation, and 105 (17)% at latest follow up (p < 0.0001). Increased right ventricular end diastolic dimensions persisted in 23/50 patients (46%). Patients with complete closure had a reduction in right ventricular size of 29 (13)% (p < 0.0001), which was greater than in patients with residual leaks (18 (15)% (p = 0.01). The length of follow up did not differ between groups. Septal motion abnormalities were present in 48 of the patients (96%) before implantation and returned to normal in all but one (2%), who had a 1 mm residual leak associated with flattened septal motion (p < 0.001). Of the six patients with leaks measuring > 4 mm by colour Doppler, four had normal right ventricular dimensions and septal wall motion.

Fractures of the device arm(s) were detected in seven patients (14%), of whom four had a 33 mm device (one with multiple fractures) and three had a 40 mm device implanted. Two were detected at one month, two at six months, and three at 12 months of follow up. The ratio of device diameter to the stretched diameter of the defect was similar in patients with and without fractures (2.4 (0.5) vs. 2.5 (0.4) respectively, p = 0.68). The fractures occurred at the joint level within the supporting arms and have not been associated with development of a residual leak or an increase in its size, if present. No device or device fragment embolisation has occurred. Protrusion of one arm of the umbrella through the defect was observed in 17/50 patients (34%), associated with the presence of deficient anterior rim (p < 0.05). This finding did not affect the function of any cardiac structure; however, residual leaks were more commonly found in patients with protrusions (p < 0.05).
All patients were asymptomatic at the latest follow up. Although only 13/50 patients (26%) were symptomatic (fatigue) before the procedure, 31 (62%) reported a subjective improvement in exercise tolerance and activity level after closure. Before the procedure, 45 patients (90%) had clinical findings compatible with atrial septal defect on physical examination, two (4%) were normal on examination (stroke patients), and three had clinical signs that were overshadowed by other associated cardiac defects. At the latest follow up, all patients with clinical findings of an atrial septal defect showed pronounced reduction or elimination of murmurs and normalisation of fixed splitting of the second heart sound. Forty six patients (92%) had signs of a left to right shunt on chest x ray before the procedure, and all showed pronounced reduction in cardiac size and pulmonary flow pattern. Two patients experienced palpitations that required investigation. Holter monitoring revealed short runs of atrial fibrillation in one and was normal in the other. One patient had a generalised seizure one year after the procedure. In this patient, magnetic resonance imaging of the brain was normal and TOE showed no residual atrial leak and no thrombus in the left atrium. One patient had a transient increase in known seizure activity immediately after the implantation, thought to be secondary to anaesthesia, and two complained of transient chest pain within the first month of follow up. Interestingly, five patients (10%) experienced transient but significant headaches, three with migraine-like features; three of these five patients had a previous history of recurrent migraines or headaches, which worsened after implantation, and two had a family history of migraine or headaches. All patients had spontaneous improvement after six months of follow up. No patient has had any heart related hospital admissions, instances of late device migration, or episodes of endocarditis.

**Discussion**

In this report we document the acute and short term results of transcatheter closure of small to moderate sized atrial septal defects with the Cardio-Seal device, performed in a single centre. The procedure was shown to be safe, with a low rate of immediate complications. The haemodynamic disturbances secondary to the right ventricular volume overload were corrected, with favourable clinical outcomes. Although the rate of residual shunting was 46% at follow up, septal motion abnormalities were eliminated in virtually all patients, and the right ventricular end diastolic dimension improved significantly after implantation. Not surprisingly, the reduction in right ventricular dimensions was less in patients with residual shunts than in those with complete occlusion, considering that the mean follow up was relatively short (9.9 months). On the basis of published data from the previous Clamshell trials, additional reduction in the size or resolution of residual shunts can be anticipated with time, leading to a further decrease in right heart dimensions. Right ventricular size may take 6–12 months to normalise, and sometimes may not return to normal even after many years following complete surgical closure at a young age. Nevertheless, our clinical and radiological findings support the view that haemodynamic improvement occurs despite the presence of small shunts.

Septal motion and right ventricular dimensions more directly assess the haemodynamic effect of volume overload to the right ventricle. In this regard, measuring the dimensions of residual shunts with colour Doppler may not accurately reflect the burden to the right heart, and in some cases can be misleading, as the size or even the presence of a leak can be affected by turbulent flow patterns near the device and characteristics unique to patient imaging. This phenomenon was observed in four adults with residual leaks estimated at > 4 mm in diameter, despite their having normalised right ventricular dimension and septal wall motion. The remaining two patients with large colour Doppler shunts continue to have increased right ventricular end diastolic diameters and may be candidates for a second transcatheter procedure, or for surgical closure if these findings persist over longer follow up.

A recent multicentre trial using the same implant has shown that a stretched diameter of > 14 mm predisposes to moderate (> 2 mm) residual leaks, although none has been clinically significant. In the present study we could not identify risk factors for residual shunting in the multivariate analysis. In the univariate analysis, however, defects with little or no rim, particularly in the anterosuperior portion of the septum, were associated with a greater incidence of residual leaking owing to arm protrusion. Three dimensional echocardiographic studies from this population have identified possible causes of such an event (fig 2). Underestimation of the longest dimension of an elliptical defect, by deforming its contour at the time of balloon sizing, and malalignment between the device and the plane of the atrial septum, accentuated by the tension on the delivery system at the time of deployment, may result in such positional anomalies. Additionally, acute angulation of the anterosuperior left
Transcatheter closure of atrial septal defects

are di around the defect. Finally, a ratio of 2.0 may cover the entire defect and to optimise support series), we deliberately chose larger devices to (of which there was a high incidence in this

diameter of the defect was more than 2:1 in this anatomical situation.

Cardio-Seal device does not have a self centring mechanism, and in the worst scenario it is assumed that the device centre sits on the edge of the defect after implantation. Addition-
ally, for patients with multiple atrial septal defects and defects with deficient anterior rims (of which there was a high incidence in this series), we deliberately chose larger devices to cover the entire defect and to optimise support around the defect. Finally, a ratio of 2.0 may not be appropriate for elliptical defects, which are difficult to assess on cross sectional TOE.10

The Starflex device (Nitinol Medical Technologies Inc, Boston, Massachusetts, USA), a design similar to the Cardio-Seal device but with a self centring mechanism, may address these issues, with lower device to stretched diameter ratios. Nevertheless, we did not have complications resulting from the use of slightly oversized devices.

Arm fractures have not been completely eliminated, although the incidence was signifi-
cantly lower than with the Clamshell implant.7 Their presence has not resulted in an increased rate of residual shunting, device embolisation, or clinical complications, and the clinical significance (if any) of such fractures remains to be determined by longer follow up.

On the basis of previous reports addressing the intermediate term outcomes (up to 10 years of follow up) with the Clamshell device, it is unlikely that such fractures will lead to any untoward events.7

The potential risk of paradoxical emboli in patients with a residual atrial septal defect after transcatheter closure remains speculative. Pre-

The Clamshell device, the low profile of the Cardio-Seal device and the spring back mecha-

nism are responsible for its good apposition to the atrial septal surface, facilitating endothelialisation and minimising atrial thrombus for-
mation. Whether the presence of a small residual atrial communication exposes these patients to the risk of paradoxical emboli is not known. If a patent oval foramen functions only as a passage for a distally originating thrombus, this might indeed be the case. However, if the mechanism of cryptogenic stroke in the presence of a patent oval foramen is related to the flap valve of the septum primum itself, then the answer is perhaps not. Further, modifying influences affecting the endothelial cells lining the residual passage and the size and extent of such a shunt will need to be accounted for in the assessment of potential risk.

Electrophysiological studies in children before and after surgical closure of atrial septal defects suggest that the substrate for rhythm disturbances is present before surgery, predis-

posing them to future clinically significant arrhythmias.18 The role of surgery in the genesis of these arrhythmias is unknown. Trans-
catheter closure of atrial septal defects has been shown to improve non-invasive electrophys-

ological findings at follow up.19 One may speculate that the avoidance of an atrial surgi-

cal scar should reduce the incidence of late supraventricular arrhythmias. The episode of atrial fibrillation in this series occurred in an adult with long standing right ventricular volume overload, and therefore it is unclear whether or not it was triggered by device implantation.

The potential advantages of transcatheter closure of an atrial septal defect over the surgical approach are obvious. They include the avoidance of a surgical scar, cardiopulmonary bypass, and a reduction in hospital stay. In addition, it has been suggested that there is a better neuropsychological outcome after de-
vice occlusion in children who undergo inter-

ventionals versus surgical closure of a secundum atrial septal defect, although this was not found to be clinically significant.20 The relatively high incidence of significant but transient headaches after device closure in this series is an interesting observation of unknown aetiology. Perhaps such headaches are related to the release of vasoactive mediators from platelets during the early process of endothelialisation, as they tended to occur in families with migraine histories. Haemodynamic changes, such as an increased left atrial pressure with chamber dis-
tension, especially during exercise, may also play a role by causing release of vasoactive hor-
mones.

CONCLUSIONS

In this study we report satisfactory short term clinical and haemodynamic results after tran-
catheter closure of small to moderate secun-
dum atrial septal defects with the new Cardio-

Seal device. Although these favourable results
are similar to those achieved with the Clamshell device, our patients had a higher incidence of multiple defects and relatively deficient rims than reported previously. The problem of supporting arm fractures has not been completely eliminated, although the fracture rate has decreased substantially. No clinical complications have resulted from arm fracture, and from previous experience with the Clamshell device it is unlikely that any will occur in the future.

**Addendum**

After completion of this study, 50 additional patients have undergone transcatheter closure of a secundum atrial defect using a similar technique, with similar immediate outcomes. Nevertheless, a significant complication occurred during follow up that needs to be reported. A nine year old boy, weighing 32 kg, had a 28 mm Cardio-Seal device implanted to close a central 9.5 mm (stretched diameter) defect with satisfactory rims. TOE showed good device position with no protrusion and no residual leak 20 minutes after the implantation. These findings were subsequently confirmed by transthoracic echocardiography and the following morning and at the first month appointment. After six months, while playing in a park on holiday in another country, syncope occurred and the child was taken to the local hospital. Subsequent transthoracic echocardiography revealed a pericardial effusion with signs of tamponade and a retroaortic hematoma. After drainage and stabilisation, TOE showed that one arm of the device had perforated the roof of the left atrium behind the aorta. The patient was taken to theatre where a tentative closure was attempted and the manufacturer found no abnormality in its structure after extensive assessment. After careful review of the postimplant echocardiographic studies, no anatomical or device related explanation for this occurrence could be found. No other cases of cardiac perforation secondary to Cardio-Seal implantation for the treatment of a variety of lesions, including atrial and muscular ventricular septal defects, have been reported so far. Likewise, no single case of perforation has been reported after more than a thousand Clamshell implantations. Pronounced thickening of the atrial wall adjacent to the device, with subsequent resolution, has been reported after Clamshell implantation. Progression of this type of lesion to the point of wall erosion and even until perforation is, however, speculative, as no such lesion has been observed with the Cardio-Seal device. No focal thickening was observed on echocardiograms on this patient.

CACP was supported by a grant provided by the “Dante Pazza-nese” Institute of Cardiology and the “Adib Jatene” Foundation, Sao Paulo, Brazil.

10. Maeno YV, Benson LN, Boutin C. Impact of dynamic 3D transesophageal echocardiography in the assessment of atrial septal defects and occlusion by the double-umbrella device (CardioSEAL). *Cardiol Young* 1998;8:368–78.